#### **CSE 5526: Introduction to Neural Networks**

# Unsupervised learning and Self-organizing maps

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# Types of learning

- Supervised learning: Detailed desired output is provided externally
- Reinforcement learning: Desired end state of an interaction with environment is provided
  - Learn best actions to take to get there
- Unsupervised learning: Discover structure in data
  - E.g., competitive learning and self organization

Winner-take-all (WTA) networks implement competitive dynamics

- Recurrent neural network
  - Each neuron excited by input
  - Recurrent dynamics eventually lead to one "winner"
  - Update winning neuron to be more sensitive to that input
- Similar to *K*-means algorithm
- Two different architectures
  - Global inhibition
  - Mutual inhibition

#### Global inhibition has simple dynamics



• Requires 3*m* inter-connections for *m* neurons

#### Mutual inhibition has complex dynamics



• Requires  $m^2$  inter-connections for m neurons

Spatial position in a brain area frequently maps to meaningful perceptual dimensions

- Maps are commonly found in the brain
  - Retinotopic maps
  - Tonotopic maps
  - Somatosensory (tactile) maps
  - Motor maps



Spatial position in a brain area frequently maps to meaningful effector dimensions

- Maps are commonly found in the brain
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  - Motor maps

B Motor homunculus



# Spatial maps can arise from simple learning rules

- Topological maps in the brain could arise from many factors
  - Genetics via chemical gradients
  - Spontaneous firing of neurons
  - Firing in response to sensory inputs
- It is possible to create them in artificial neural networks based only on "sensory" inputs
  - For example in self-organizing maps (SOMs)
  - Like *K*-means, but with organized means

A self-organizing map is a WTA network with a notion of distance between neurons



A self-organizing map is a WTA network with a notion of distance between neurons

- Each node in the SOM has a prototype vector
  - Computes activation based on distance to an input
  - What it's looking for or excited by
- Each node in the SOM has a set of neighbors
  - Or a distance function to the rest of the neurons
- Learning in the SOM adjusts the prototypes
  - So that neurons that are "close" to each other have prototypes that are "close" to each other
- Learns a nonlinear dimensionality reduction

#### 2D SOM learning 2D data



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#### 1D SOM learning 2D data



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# SOM training

- Activate neurons based on distance to inputs
  - Find winner, the neuron most activated
- Update neurons based on distance to winner
  - Winner's prototype is updated to be closer to input
  - Neighbors' prototypes are updated less
  - Far away neurons are not updated
- No global objective being optimized
  - But interesting behavior in practice

## SOM training equations

• Weight update

$$\mathbf{w}_j(n+1) = \mathbf{w}_j(n) + \eta(n)h_{j,i(\mathbf{x})}(n)\big[\mathbf{x}(n) - \mathbf{w}_j(n)\big]$$

- *i*(**x**) indicates the winning neuron
- $h_{j,i}$  denotes a neighborhood function centered at neuron *i*
- A typical choice for  $h_{j,i}$  is a Gaussian function

$$h_{j,i}(n) = \exp\left[-\frac{d_{j,i}^2}{2\sigma^2(n)}\right]$$

*d<sub>j,i</sub>* denotes the Euclidean distance between neuron *j* and *i* on the output layer

# Gaussian $h_{j,i}$ as a function of $d_{j,i}$



•  $h_{j,i}$  or  $d_{j,i}$  can also be computed in other ways

SOM training example: Initial configuration of neuron prototypes



SOM training example: Observe point



## SOM training example: Find closest neuron to observation



## SOM training example: Activate neurons close **in grid** to that neuron



SOM training example: Move selected neurons towards observation



SOM training example: Observe next point



SOM training example: After many iterations



## SOMs use two phases of learning

- Initial ordering phase
  - Align output manifold to data manifold
  - Bring neural neighbors' representations together
  - $\eta$  and  $\sigma$  are high
- Subsequent convergence phase
  - Fine-tune representation at each neuron
  - Little or no interaction across neurons
  - $\eta$  and  $\sigma$  are low

## Two phases of SOM training

- Ordering phase: This phase is to achieve topological ordering of weight vectors
- One approach is to set

$$\sigma(n) = \sigma_0 \cdot \left(1 - \frac{n}{N_0}\right) \quad \eta(n) = \eta_0 \cdot \left(1 - \frac{n}{N_0 + K}\right)$$

- $\sigma_0$  is the initial (large) Gaussian width and  $N_0$  is the number of iterations for the phase
- $\eta_0$  is the initial learning rate and *K* is another parameter

#### Two phases of training (cont.)

Alternatively, we can set σ(n) and η(n) as given in textbook

$$\sigma(n) = \sigma_0 \cdot \exp\left(-\frac{n}{\tau_1}\right)$$
$$\eta(n) = \eta_0 \cdot \exp\left(-\frac{n}{\tau_2}\right)$$

where  $\tau_1$  and  $\tau_2$  are called time constants

## Two phases of training (cont.)

- **Convergence phase**. This phase fine-tunes the output neurons to match the input distribution
- For the convergence phase,  $h_{j,i}(n)$  should contain just the nearest neighbors, which may reduce to one neuron.  $\eta$  should be small.

SOM with a trivial neighborhood reduces to competitive learning

• For the special case of a neighborhood function that includes just the winning neuron, SOM reduces to competitive learning:

$$\Delta \mathbf{w}_j = \eta y_j (\mathbf{x} - \mathbf{w}_j)$$

Here  $y_j$  is the (binary) response of neuron j (1 if it is the winner, 0 if not)

#### Competitive learning is online *K*-means



#### 2D SOM on 2D data



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#### 1D SOM on 1D data



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#### SOM illustrations (cont.)



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## SOM illustrations (cont.)



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#### Elastic net for traveling salesman problem



#### Example: Islands of Music



Pampalk, Rauber, Merkl (2002)